

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

R-222.00

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

09/831680

INTERNATIONAL APPLICATION NO.  
PCT/AT99/00271INTERNATIONAL FILING DATE  
10 November 1999PRIORITY DATE CLAIMED  
10 November 1998TITLE OF INVENTION Pharmaceutical Preparation Comprising a Receptor Antagonist for  
the Treatment of Blood Coagulation Disorders

APPLICANT(S) FOR DO/EO/US

Hans-Peter SCHWARZ, Peter TURECEK, Bernd BINDER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
  4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☒ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. ☒ is attached hereto.
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
  9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). NOT INCLUDED AS IPER HAS NOT ISSUED TO DATE
- Items 11 to 20 below concern document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A **FIRST** preliminary amendment.
  14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
  18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
  19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
  20. ☒ Other items or information: English translation of substitute pages of amended claims submitted in response to written opinion (IPER not issued to date)

U.S. APPLICATION NO. 09/831680

INTERNATIONAL APPLICATION NO. PCT/AT99/00

ATTORNEY'S DOCKET NUMBER  
R-222.0021. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1000.00International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... ☒ \$860.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

| CLAIMS                                      | NUMBER FILED | NUMBER EXTRA | RATE       | \$ |
|---|--------------|--------------|------------|----|
| Total claims                                | 10 - 20 =    | -0-          | x \$18.00  | \$ |
| Independent claims                          | 1 - 3 =      | -0-          | x \$80.00  | \$ |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) |              |              | + \$270.00 | \$ |

**TOTAL OF ABOVE CALCULATIONS =** \$ 990.00☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

+

**SUBTOTAL =** \$ 990.00Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =** \$ 990.00Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$


**TOTAL FEES ENCLOSED =** \$ 990.00Amount to be  
refunded: \$ 990.00

charged: \$

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 02-1437 in the amount of \$ 990.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 02-1437. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Baxter Healthcare Corporation  
PO Box 15210  
Irvine, CA 92623-5210


SIGNATURE

Janice Guthrie

NAME

35,170

REGISTRATION NUMBER

09/831680

JC08 Rec'd PCT/PTO

10 MAY 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PCT application of:

Applicant: SCHWARZ, et al.

Document(s) Sent: Certificate of Mailing  
Return Postcard  
Transmittal Letter to the US Designated/Elected Offices  
English translation of PCT/AT99/00271  
2 sheets of drawings  
English translation of substitute pages of amended claims  
submitted in response to written opinion  
First Preliminary Amendment

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CERTIFICATE OF MAILING

I hereby certify that the above-identified documents are being transmitted via Express Mail Label No. #EL524824650US to Box Patent Applications, Assistant Commissioner of Patents, Washington, D.C. 20231 on 10 May 2001.

By:

Diane M. Branham  
Diane M. Branham

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